

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
RO999-023SERIAL NO.
UnassignedLIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENTAPPLICANT:
William Joseph Armstrong et al.

#2

(Use several sheets if necessary)

FILING DATE:
HerewithGROUP:
UnassignedU.S. PTO
09/31/324
05/19/99

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AD	AA 3 6 4 1 5 0 5	02/08/72	Artz et al.	340 710	172 100	
AD	AB 4 5 1 1 9 6 4	04/16/85	Georg et al.	364 711	280 202	
AD	AC 4 8 4 3 5 4 1	06/27/89	Bean et al.	364 710	280 30	
AD	AD 5 1 2 9 0 8 8	07/07/92	Auslander et al.	395 711	280 1	
AD	AE 5 2 5 3 3 4 4	10/12/93	Bostick et al.	395 710	275 8	
AD	AF 5 2 6 3 1 5 8	11/16/93	Janis	395 707	600 1	
AD	AG 5 3 4 5 5 9 0	09/06/94	Ault et al.	395 709	650 1	
AD	AH 5 5 7 4 9 1 4	11/12/96	Hancock et al.	395 712	650 220	
AD	AI 5 6 0 0 8 0 5	02/04/97	Fredericks et al.	395 710	825 5	
AD	AJ 5 6 5 9 7 5 6	08/19/97	Hefferon et al.	395 710	720 200	
AD	AK 5 6 5 9 7 8 6	08/19/97	George et al.	395 713	650 100	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
					YES NO
AL					
AM					
AN					
AO					
AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AD	AR	VMWare Virtual Platform - Technology White Paper, http://vmware.com/products/virtualplatform.html (1999).
AD	AS	IBM Technical Disclosure Bulletin, Kreulen, "OS/2 Raw FileSystem," Vol. 40, No. 05, pp. 177-190, May 1997.
AD	AT	IBM Technical Disclosure Bulletin, Baskey et al., "Highly Parallel Coupling Facility Emulator/Router with Shadowed Link Buffers," Vol. 39, No. 12, pp. 123-124, December 1996.

EXAMINER

Thomas A. Dixon

DATE CONSIDERED

10/30/01

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ATTY. DOCKET NO.
RO999-023SERIAL NO.
UnassignedAPPLICANT:
William Joseph Armstrong et al.FILING DATE:
HerewithGROUP:
UnassignedJ0525 U.S. PTO
09/31/324
05/19/99

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
D	BA	5	6	7	1	4	0	5		09/23/97	Wu et al.	395 707	607 7	
D	BB	5	6	7	5	7	9	1		10/07/97	Bhide et al.	395 707	621 205	
J	BC	5	6	8	7	3	6	3		11/11/97	Oulid-Aissa et al.	395 707	604 4	
D	BD	5	6	9	2	1	7	4		11/25/97	Birely et al.	395 707	603 3	
J	BE	5	6	9	2	1	8	2		11/15/97	Desai et al.	395 707	610 10	
	BF													
	BG													
	BH													
	BI													
	BJ													
	BK													

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
														YES	NO
	BL														
	BM														
	BN														
	BO														
	BP														

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BR	U.S. Patent Application RO999-021, "Apparatus and Method for Specifying Maximum Interactive Performance in a Logical Partition of a Computer System Independently from the Maximum Interactive Performance in Other Partitions," filed May 19, 1999, Armstrong et al.														
	U.S. Patent Application RO999-022, "Processor Reset Generated via Memory Access Interrupt," filed May 19, 1999, Armstrong et al.														
BS	U.S. Patent Application RO999-024, "Event-Driven Communications Interface for Logically-Partitioned Computer," filed May 19, 1999, Armstrong et al.														
BT															

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RO999-023SERIAL NO.
UnassignedAPPLICANT:
William Joseph Armstrong et al.FILING DATE:
HerewithGROUP:
Unassigned

JC625 U.S. PTO
09/31/82
05/19/99

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
CA						
CB						
CC						
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CE						
CF						
CG						
CH						
CI						
CJ						
CK						

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
					YES NO
CL					
CM					
CN					
CO					
CP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

CR	U.S. Patent Application RO999-023, "Logical Partition Manager and Method," filed May 19, 1999, Armstrong et al.
CS	
CT	

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Thomas A. Dyer

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENTAPPLICANT:
William Joseph Armstrong et al. * 3

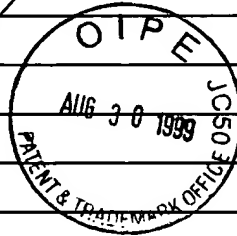
(Use several sheets if necessary)

FILING DATE:
May 19, 1999GROUP:
2777

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	AA					
	AB					
	AC					
	AD					
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	AG					
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	AI					
	AJ					
	AK					



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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	AL						
	AM						
	AN						
	AO						
	AP						

SEP 21 2000 MAIL ROOM

 RECEIVED
SEP 11 1999

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	Abstract for JAPIO Application No. 94-103092, T. Imada et al., April 15, 1994, "Virtual Computer System."
	AS	Abstract for JAPIO Application No. 92-348434, T. Imada et al., December 3, 1992, "Virtual Computer System."
	AT	Inspec Abstract No. C9408-6110P-022, A. B. Gargaro et al., March 1994, "Supporting Distribution and Dynamic Reconfiguration in AdaPT."

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Thomas A. Dyer

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APPLICANT:
William J. Armstrong et al.FILING DATE:
May 19, 1999GROUP:
2777

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
<i>D</i>	AA	5	5	6	6	3	3	7	10/15/96	Steven J. Szymanski et al.	395 710	723 260	
<i>D</i>	AB	5	8	2	8	8	8	2	10/27/98	Mark Andrew Hinckley	395 709	680 318	
<i>D</i>	AC	5	8	4	5	1	4	6	12/01/98	Osamu Onodera	395 710	822 2	
	AD												
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	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS

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	AN													
	AO													
	AP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

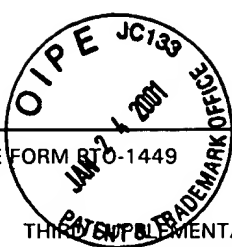
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DATE CONSIDERED) 5/30/01

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SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
IBM/91 (R0999-023)SERIAL NO.
09/314,324THIRD SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William Joseph Armstrong et al.

5

FILING DATE
May 19, 1999GROUP
2777

(37 CFR 1.98(b))

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>D</i>	A.A	5	6	8	4	9	7	4	11/4/97	Onodera	395 711	412 202	3/13/95
<i>D</i>	A.B	5	9	2	3	8	9	0	7/13/99	Kubala et al.	395 712	800 011	7/30/97
<i>D</i>	A.C	5	9	9	6	0	2	6	11/30/99	Onodera et al.	710	3	4/4/97
<i>D</i>	A.D	6	1	4	8	3	2	3	11/14/2000	Whitner et al.	709	105	12/29/95
	A.E												
	A.F												
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	A.R	
	A.S	
	A.T	

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Thomas A. Dixon

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SUBSTITUTE FORM PTO-1449
(MODIFIED)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
IBM/91 (R0999023)SERIAL NO.
09/314,324FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William Joseph Armstrong et al.Confirmation No.
5010

(37 CFR 1.98(b))

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FILING DATE
May 19, 1999GROUP
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	Technology SUBCLASS	Center 2100 IF APPROPRIATE
<i>D</i>	A.A	5	3	6	5	5	1	4	11/15/94	Hershey et al.	370	<i>17 241</i>	3/1/93
<i>D</i>	A.B	5	4	4	6	9	0	2	8/29/95	Islam	<i>385 717</i>	<i>700 3</i>	7/14/93
<i>D</i>	A.C	5	5	2	6	4	8	8	6/11/96	Hershey et al.	<i>395 709</i>	<i>200-2 242</i>	1/26/94
<i>D</i>	A.D	5	8	1	9	0	6	1	10/6/98	Glassen et al.	<i>395 709</i>	<i>400 1</i>	7/25/94
<i>D</i>	A.E	6	1	7	3	3	3	7	1/9/01	Akhond et al.	709	318	3/8/96
<i>D</i>	A.F	6	1	9	9	1	7	9	3/6/01	Kauffman et al.	<i>714 704</i>	26	6/10/98
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

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	A.R	
	A.S	
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